

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Itsuki KOBATA et al. : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2005-0751A
Filed May 6, 2005 :
ELECTROLYTIC PROCESSING
APPARATUS AND ELECTROLYTIC
PROCESSING METHOD
[Corresponding to PCT/JP2003/009145
Filed July 18, 2003]

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application
PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No.
_____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the
above-entitled application, or

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before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☒ the concise explanation contained in the specification of the present application at page 9,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:
 - U.S. 4,366,360 corresponds to JP 56-152525
 - U.S. 4,800,006 corresponds to JP 63-196321
 - U.S. 4,584,450 corresponds to JP 54-75698
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Itsuki KOBATA et al.

By 

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May 6, 2005

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: May 6, 2005

ATTY DOCKET NO.
2005-0751ASERIAL
NEW

10/534232

APPLICANT
Itsuki KOBATA et al.FILING DATE
May 6, 2005

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,366,360	12/1982	Inoue			
	AB	4,800,006	1/1989	Kuwabara et al.			
	AC	4,584,450	4/1986	Inoue			
	AD	2004/0129569	7/2004	Nabeya et al.			
	AE	2003/0136668	7/2003	Kobata et al.			
	AF	2001/0036746	11/2001	Sato et al.			
	AG	2003/0114004	6/2003	Sato et al.			
	AH	6,299,741	10/2001	Sun et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AI	2000-52235	2/2000	JP			abstract
	AJ	56-152525	11/1981	JP			
	AK	64-27815	1/1989	JP			abstract
	AL	63-196321	8/1988	JP			
	AM	2000-167714	6/2000	JP			abstract
	AN	54-75698	6/1979	JP			
	AO	6-722	1/1994	JP			abstract
	AP	4-289182	10/1992	JP			abstract
	AQ	63-196322	8/1988	JP			abstract

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.